



172

PATENT  
Attorney Docket No.: SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,888

Filed: September 17, 2003

For: **VERSION BASED CONTENT  
DISTRIBUTION AND  
SYNCHRONIZATION SYSTEM  
AND METHOD**

Group Art Unit: 2189

Examiner:

**TRANSMITTAL LETTER**

162 N. Wolfe Road  
Sunnyvale, CA 94086  
(408) 530-9700

Customer No.: 28960

Mail Stop: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.5(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 7/24/06 By: [Signature]



Attorney Docket No.: PATENT  
SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Xin Xue et al.

Serial No.: 10/666,888

Filed: September 17, 2003

For: **VERSION BASED CONTENT  
DISTRIBUTION AND  
SYNCHRONIZATION SYSTEM  
AND METHOD**

) Group Art Unit: 2189

) Examiner:

) **CERTIFICATION FOR**  
) **INFORMATION DISCLOSURE**  
) **STATEMENT UNDER 37 CFR § 1.97(e)**

) 162 North Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed information disclosure statement were cited within an Official Action mailed on June 19, 2006. **Accordingly, I hereby certify that no reference contained within this information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.5(a))  
I hereby certify that this paper (along with any referred to as  
being attached or enclosed) is being deposited with the U.S.  
Postal Service on the date shown below with sufficient  
postage as first class mail in an envelope addressed to the:  
Commissioner for Patents, P.O. Box 1450 Alexandria, VA  
22313-1450  
HAVERSTOCK & OWENS LLP.

Date: \_\_\_\_\_ By: \_\_\_\_\_



Attorney Docket No.: PATENT  
SONY-26400

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Xin Xue et al.

Serial No.: 10/666,888

Filed: September 17, 2003

For: **VERSION BASED CONTENT  
DISTRIBUTION AND  
SYNCHRONIZATION SYSTEM  
AND METHOD**

) Group Art Unit: 2189

) Examiner:

) **INFORMATION DISCLOSURE**  
) **STATEMENT**

) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700

) Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicants have become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 2002/0194209 A1;
- U.S. Patent No. 2003/0004947 A1;
- U.S. Patent No. 2003/0120685 A1;
- U.S. Patent No. 2003/0140088 A1;
- U.S. Patent No. 2003/0182436 A1;
- U.S. Patent No. 2005/0044250 A1;
- U.S. Patent No. 6,233,252 B1;
- U.S. Patent No. 6,871,236 B2;
- U.S. Patent No. 6,981,138 B2;
- [www.Wikipedia.com](http://www.Wikipedia.com)>Moore's Law, 5 pages; and

- www.Wikipedia.com>IPv4, 9 pages.

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.6(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 7/24/06 By: [Signature]

FORM PTO-1449  
(Modified)U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SONY-26400

Serial No.: 10/666,888

INFORMATION DISCLOSURE STATEMENT BY APPLICANT  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: Xin Xue et al.

Filing Date: September 17, 2003

Group Art Unit: 2189

## U.S. PATENT DOCUMENTS

Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
/DB/	AA 2002/0194209 A1	12/19/2002	Bolosky et al.	707	205	03/21/2001
/DB/	AB 2003/0004947 A1	01/02/2003	Coverston	707	9	06/28/2001
/DB/	AC 2003/0120685 A1	06/26/2003	Duncombe et al.	707	200	11/06/2002
/DB/	AD 2003/0140088 A1	07/24/2003	Robinson et al.	709	202	01/24/2002
/DB/	AE 2003/0182436 A1	09/25/2003	Henry	709	232	03/25/2002
/DB/	AF 2005/0044250 A1	02/24/2005	Gay et al.	709	230	07/30/2003
/DB/	AG 6,233,252 B1	05/15/2001	Barker et al.	370	473	02/16/1999
/DB/	AH 6,871,236 B2	03/22/2005	Fishman et al.	709	246	01/26/2001
/DB/	AI 6,981,138 B2	12/27/2005	Douceur et al.	713	153	03/26/2001
	AJ					
	AK					
	AL					
	AM					
	AN					
	AO					

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
	AP						
	AQ						
	AR						
	AS						
	AT						

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

/DB/	AU	www.Wikipedia.com>Moore's Law, 5 pages.
/DB/	AV	www.Wikipedia.com>IPv4, 9 pages.
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	

Examiner: /Douglas Blair/

Date Considered 05/25/2008

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.